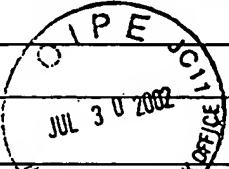


FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Baerveldt, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE January 18, 2002	GROUP 3761



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
A	1	4,559,942	12/24/85	Eisenberg		
	2	4,846,172	07/11/89	Berlin		
	3	5,123,902	06/23/92	Müller, et al.		
	4	5,129,895	07/14/92	Vassiliadis, et al.		
	5	5,688,261	11/18/97	Amirkhanian, et al.		

FOREIGN PATENT DOCUMENTS							TRANSLATION	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
6		WO 99/51156	10/14/99	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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EXAMINER	<i>Anil</i>	DATE CONSIDERED	<i>01/23/05</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449 O I P E INFORMATION DISCLOSURE STATEMENT BY APPLICANT APR 26 2002 (USE SEVERAL SHEETS IF NECESSARY)		ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
		APPLICANT Baerveldt, et al.	
		FILING DATE January 18, 2002	GROUP 3761

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	INTERFILING DATE (IF APPROPRIATE)
P	5,958,266	9/28/99	Fugo, et al.			
	6,352,535	3/5/02	Lewis, et al.			
	6,135,998	10/24/00	Palanker			
	5,486,165	1/23/96	Stegmann			

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
	0898947	3/3/99	European Patent Office			X

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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EXAMINER	DATE CONSIDERED
<i>an/bn</i>	<i>01/29/02</i>
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APR 15 2002 U.S. PATENT & TRADEMARK OFFICE JC10		APPLICANT Baerveldt, et al.	
		FILING DATE January 18, 2002	GROUP 3761

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
ZP	1	5178604	01/12/93	Baerveldt, et al.			
	2	3844272	10/29/74	Banko			
	3	3996935	12/14/76	Banko			
	4	5472440	12/5/95	Beckman			
	5	6251103	06/26/01	Berlin			
	6	4282884	08/11/81	Boebel			
	7	6142990	11/07/00	Burk			
	8	5722970	03/03/98	Colvard, et al.			
	9	5738677	04/14/98	Colvard, et al.			
	10	5733256	03/31/98	Costin			
	11	5865831	02/02/99	Cozean, et al.			
	12	6007555	12/28/99	Devine			
	13	4694828	09/22/87	Eichenbaum			
	14	6135999	10/24/00	Fanton, et al.			
	15	5755716	05/26/98	Garito, et al.			
	16	6004318	12/21/99	Garito, et al.			
	17	5825958	10/20/98	Gollihar, et al.			
	18	5788679	08/04/98	Gravlee, Jr.			
	19	4320761	03/23/82	Haddad			
	20	5738676	04/14/98	Hammer, et al.			
	21	590661	09/28/1897	Harthan			
	22	3893445	07/08/75	Hofsess			
	23	5980546	11/09/99	Hood			
	24	6059772	05/09/00	Hsia, et al.			
	25	3929123	12/30/75	Jamshidi			
	26	3583390	06/08/71	Jascalevich			
	27	5135295	08/04/92	Jen, et al.			

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PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
ZF	4538811	09/03/85	Kelman			
	5407443	04/18/95	Kobayashi, et al.			
	5549598	08/27/98	Latina			
	4900300	02/13/90	Lee			
	2850007	09/02/58	Lingley			
	6220247	04/24/01	Maldonado Bas			
	4577629	03/25/88	Martinez			
	3007471	04/27/59	McClure, Jr.			
	5957921	09/28/99	Mirhashemi, et al.			
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	6096031	08/01/00	Mitchell, et al.			
	4955883	09/11/90	Nevyas, et al.			
	5549598	08/27/98	O'Donnell, Jr.			
	6086583	07/11/00	Ouchi			
	4805616	02/11/89	Pao			
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	6140740	10/31/00	Porat, et al.			
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	5972416	10/26/99	Reimels, et al.			
	5833643	11/10/98	Ross, et al.			
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	4011869	03/15/77	Seiler, Jr.			
	6319274	11/20/01	Shadduck			
↓	4501274	02/26/85	Skjaerpe			
—	4481948	11/13/84	Sole			

EXAMINER	<i>Ani Srinivasan</i>	DATE CONSIDERED	<i>01/23/05</i>
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APR 15 2002 OIF E JC10 PATENT & TRADEMARK OFFICE APPLICANT Baerveldt, et al.			
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE January 18, 2002	GROUP 3761

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
A	55	5437678	08/01/95	Sorensen			
	56	5871492	02/16/99	Sorensen			
	57	6117149	09/12/00	Sorensen			
	58	5360399	11/01/94	Stegmann			
	59	5743871	04/28/98	Strukel			
	60	6325792	12/04/01	Swinger, et al.			
	61	4689040	08/25/87	Thompson			
	62	5431646	07/11/95	Vassiliadis, et al.			
	63	5893849	04/13/99	Weaver			
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	65	4570632	02/18/86	Woods			
Y	66	4308875	01/05/82	Young			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
A	67	WO 00/64389	11/02/00	PCT			
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	69	WO 00/67687	11/16/00	PCT			
Y	70	WO 98/30157	07/16/98	PCT			

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A	71	H.S. International Co., Inc., Ophthalmic Micro-surgical Instruments. Catalog. Unknown publication date, but predates invention.
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Y	76	The Foundation of The American Academy of Ophthalmology. Basic and Clinical Science Course. Pages 85 through 91.

EXAMINER	<i>Ron [initials]</i>	DATE CONSIDERED	<i>01/23/05</i>
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OCT 15 2002
U.S. PATENT & TRADEMARK OFFICE

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
A	77	The C.V. Mosby Co. St. Louis, Mo.; <i>Retina</i> Vol. 3, Chapter 124, pages 192-195, 1989.	
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	81	RHEIN Medical Inc. Advertisement. Unknown publication date, but publication predates invention.	
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	85	Katena Eye Instruments. Catalog, page 142. Unknown publication date, but predates invention.	
	86	Microsurgery Advanced Design Lab. www.madlab.lhu.edu/manhan.htm , Initiatives, 1 page.	
	87	Endo-optiks; www.endo-optiks.com ; Using the Technology, Endoscopic Direct-View Goniotomy, 1 page.	
	88	Gayton, Johnny L., M.D., A 'Smart' Bomb for Glaucoma Treatment?; www.endo-optiks.com/a_smrtbm.htm ; 4 pages.	
	89	Dynamic Surgery, Cautery Probes, www.dynamicsurgery.com ; ClearSight Cautery Probes, 4 pages.	
	90	McHugh, Josh; <i>Forbes Magazine</i> , No. 7, "The Electromagnetic Scalpel", October 5, 1998.	
	91	Dietlein T.S., et al., <i>Erbium: YAG Laser Trabecular Ablation (LTA) in the Surgical Treatment of Glaucoma</i> . Lasers Surg Med 23(2) 104-10, 1998	
	92	Maldonado-Bas A., M.D., and Maldonado-Junyent, A., M.D., <i>Filtering Glaucoma Surgery Using an Excimer Laser</i> , J Cataract Refract Surg. 27(9) 1402-9, 2001	
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EXAMINER	<i>Anil</i>	DATE CONSIDERED	01/29/05
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